

NEW GLOBAL WELL-POSEDNESS RESULT FOR A HIGHER ORDER SCHRÖDINGER EQUATION

XAVIER CARVAJAL and RAUL PRADO

Instituto de Matemática
Universidade Federal do Rio de Janeiro
C.P. 68530, C.E.P. 21944-970
Rio de Janeiro, R. J. Brazil
e-mail: carvajal@ime.unicamp.br

Departamento de Matemática
UFPR C.P. 019081 JD. das Américas
CEP 81531-990
Curitiba, P. R. Brazil

Abstract

We establish new global results for a higher order Schrödinger equation. We show that solutions of the equation can be extended globally in Sobolev spaces of order $s > 3/5$, the method was introduced by Bourgain [1] and used in several models.

1. Introduction

In this paper we will describe global well-posedness for solutions of the initial value problem (IVP)

2000 Mathematics Subject Classification: 35A07, 35Q53.

Keywords and phrases: Schrödinger equation, Korteweg-de Vries equation, global well-posed, linear estimates.

Received April 26, 2008

$$\begin{cases} \partial_t u + ia\partial_x^2 u + b\partial_x^3 u - e|u|^2\partial_x u + eu^2\partial_x \bar{u} = 0, & x, t \in \mathbb{R}, \\ u(x, 0) = u_0(x), \end{cases} \quad (1.1)$$

where u is a complex valued function and a , b and e are real parameters with $be \neq 0$.

The equation above is a particular case of the IVP introduced by Hasegawa and Kodama [13, 16],

$$\begin{cases} \partial_t u + ia\partial_x^2 u + b\partial_x^3 u + i\gamma|u|^2 u + d|u|^2\partial_x u + eu^2\partial_x \bar{u} = 0, & x, t \in \mathbb{R}, \\ u(x, 0) = u_0(x), \end{cases} \quad (1.2)$$

to study the propagation of pulses where higher dispersive effects than those one of the Schrödinger equation were taking in consideration.

Notice that depending of the values of the parameters a , b , γ , d , and e , the equation in (1.2) reduces to either the cubic Schrödinger equation

$$i\partial_t u + \partial_x^2 u + c|u|^2 u = 0,$$

or the derivative nonlinear Schrödinger equation

$$\partial_t u + i\partial_x^2 u + d|u|^2\partial_x u + eu^2\partial_x \bar{u} = 0,$$

or the complex modified Kortweg-de Vries equation

$$\partial_t u + \partial_x^3 u + d|u|^2\partial_x u = 0.$$

These equations are well known nonlinear dispersive equations and have been broadly studied in recent years.

Let $c = (d - e)a/3b$ and $u(x, t)$ be a solution of (1.2). Define

$$v(x, t) = \exp\left(i\frac{a}{3b}x + i\frac{a^3}{27b^2}t\right)u\left(x + \frac{a^2}{3b}t, t\right), \quad (1.3)$$

then $v(x, t)$ is a solution of the equation

$$\partial_t v + b\partial_x^3 v + d|v|^2\partial_x v + ev^2\partial_x \bar{v} = 0. \quad (1.4)$$

So the transformation (1.3) takes solutions of the equation in (1.2) in solutions to a complex modified Kortweg-de Vries type equation.

Regarding the study of well-posedness for the IVP (1.2), several works have been devoted to this subject, we can mention the works of Laurey [17], Staffilani [22], and Carvajal [2]. In best local result obtained so far is due to Staffilani [22]. She proved that for data in $H^s(\mathbb{R})$, $s \geq 1/4$, the IVP (1.2) is locally well-posed.

In [17] it was shown that the flow associated to the IVP (1.2) leaves invariant on time the following quantities

$$\begin{aligned}
 J_1(v) &= \int_{\mathbb{R}} |v|^2(x, t) dx, \\
 J_2(v) &= c_1 \int_{\mathbb{R}} |\partial_x v|^2(x, t) dx + c_2 \int_{\mathbb{R}} |v|^4(x, t) dx \\
 &\quad + c_3 \operatorname{Im} \int_{\mathbb{R}} v(x, t) \partial_x \overline{v(x, t)} dx,
 \end{aligned}$$

where $c_1 = 3be \neq 0$, $c_2 = -e(e + d)/2$ and $c_3 = 3bc - a(e + d)$. These quantities were used in [17] to establish global well-posedness for (1.2) in $H^s(\mathbb{R})$, $s \geq 1$.

Recently when $be \neq 0$ for the IVP (1.2), Wang [26] proved global well-posedness in $H^s(\mathbb{R})$ for $s > 6/7$. Carvajal using techniques of Colliander et al. [6, 7, 8] (almost conserved quantities and I -method as in [8, 26]) obtained in [3] a sharp global well-posedness result in $H^s(\mathbb{R})$ for $s > 1/4$ under the condition

$$\gamma = a(d - e)/(3b), \quad be \neq 0. \tag{1.5}$$

Here we prove the global well-posedness for the IVP (1.1) in $H^s(\mathbb{R})$, $s > 3/5$, using Bourgain's technique as in [9]. Observe that if $a \neq 0$, then (1.1) does not satisfy the condition (1.5) because that in this case is $\gamma = 0$ and $d = -e \neq 0$. Therefore our result is new in the literature and better than the global well-posedness result obtained in [26].

Note that (1.1) conserves the following quantities:

$$I_1(v) = \int_{\mathbb{R}} |v|^2(x, t) dx, \quad I_2(v) = \int_{\mathbb{R}} |\partial_x v|^2(x, t) dx. \quad (1.6)$$

We do not know whether or not the approach in [6, 7, 8] can be applied in this case to go all the way to $s \geq 1/4$.

The local result we will use is due to Staffilani [22], it reads as the following.

Theorem 1.1. *Let $u_0 \in H^s(\mathbb{R})$, $s \geq 1/4$, and $a, b \in \mathbb{R}$, $b \neq 0$, $c, d, e \in \mathbb{C}$, then there exist*

$$\Delta T \leq C \min \{ \|u_0\|_{H^{1/4}}^{-4}, \|u_0\|_{H^{1/4}}^{-8/3} \} \quad (1.7)$$

and a unique solution of the IVP (1.2), such that

$$u \in \mathcal{C}([0, \Delta T]; H^s(\mathbb{R})), \quad (1.8)$$

$$\|\partial_x u\|_{L_x^\infty L_{\Delta T}^2} + \|D_x^s \partial_x u\|_{L_x^\infty L_{\Delta T}^2} < \infty, \quad (1.9)$$

$$\|D_x^{s-1/4} \partial_x u\|_{L_x^{20} L_{\Delta T}^{5/2}} < \infty, \quad (1.10)$$

$$\|u\|_{L_x^5 L_{\Delta T}^{10}} + \|D_x^s u\|_{L_x^5 L_{\Delta T}^{10}} < \infty, \quad (1.11)$$

$$\|u\|_{L_x^4 L_{\Delta T}^\infty} < \infty, \quad (1.12)$$

$$\|u\|_{L_x^8 L_{\Delta T}^8} + \|D_x^s u\|_{L_x^8 L_{\Delta T}^8} < \infty. \quad (1.13)$$

Moreover, for any $T' \in [0, \Delta T]$ there exists a neighborhood \mathcal{V} of $u_0 \in H^s(\mathbb{R})$, $s \geq 1/4$, such that the map $\tilde{u}_0 \rightarrow \tilde{u}(t)$, from \mathcal{V} into the class defined by (1.8)-(1.13), with T' instead of ΔT is smooth.

Our aim in this paper is to extend the local solution from the Theorem 1.1 to a global one. Now, we state our main theorem of global existence:

Theorem 1.2. *Let $s > 3/5$, then for any $u_0 \in H^s(\mathbb{R})$ the unique solution of the IVP (1.2) given by Theorem 1.1 extends to any interval $[0, T]$. In addition,*

$$\| u \|_{L^\infty_{[0,T]} \dot{H}^\theta} \leq c_1 T^{2\theta(1-s)/(5s-3)} + \frac{\| u_0 \|_{\dot{H}^s}}{T^{2(s-\theta)/(5s-3)}},$$

where $c_1 = c_1(\| u_0 \|_{H^s})$ and $0 \leq \theta \leq s$, moreover

$$u(t) = U(t)u_0 + \omega(t),$$

where $U(t)$ is the unitary group associated with the linear part of (1.1),

$$\| w \|_{L^\infty_{[0,T]} \dot{H}^\delta} \leq c_1 T^{2\delta(1-s)/(5s-3)},$$

where $c_1 = c_1(\| u_0 \|_{H^s})$, $s \leq \delta \leq 1$.

Notation. The notation to be used is mostly standard. We will use the space-time Lebesgue space $L^p_x L^q_T$ endowed with the norm

$$\| f \|_{L^p_x L^q_T} = \left\| \| f \|_{L^q_T} \right\|_{L^p_x} = \left(\int_{\mathbb{R}} \left(\int_0^T | f(x, t) |^q dt \right)^{p/q} dx \right)^{1/p}.$$

The notation $A \lesssim B$ means there exist a constant C such that $A \leq CB$, and $A \sim B$ means $A \lesssim B$ and $B \lesssim A$.

2. Linear Estimates

In this section we will present the linear estimates we need to obtain our global results. Some of these linear estimates were already established somewhere else and so we will give the references.

We consider the linear problem

$$\begin{cases} \partial_t u + ia\partial_x^2 u + b\partial_x^3 u = 0, & x, t \in \mathbb{R}, \\ u(x, 0) = u_0(x), \end{cases} \tag{2.1}$$

whose solution is given by the unitary group $\{U(t)\}$ defined via the Fourier transform as

$$U(t)u_0(x) = \int_{\mathbb{R}} e^{i(a\xi^2 + b\xi^3)t + ix\xi} \hat{u}_0(\xi) d\xi. \tag{2.2}$$

For $u_0(x)$ in $H^s(\mathbb{R})$, $s \in [1/4, 1)$, we write

$$u_0(x) = (\chi_{\{|\xi| < N\}} \hat{u}_0)^\vee(x) + (\chi_{\{|\xi| \geq N\}} \hat{u}_0)^\vee(x) = v_0(x) + w_0(x), \quad (2.3)$$

where N is a large number to be chosen later. We notice that by the definition (2.3) the both data $v_0 \in H^\infty := \bigcap_{j=1}^\infty H^j$ and $w_0 \in H^s$, satisfy

$$\begin{aligned} \|v_0\|_{L^2} &\leq \|u_0\|_{L^2} \\ \|v_0\|_{H^\delta} &\leq \|u_0\|_{H^s} N^{\delta(1-s)}, \quad \delta \geq s, \end{aligned} \quad (2.4)$$

and

$$\|w_0\|_{H^\rho} \leq \|u_0\|_{H^s} N^{(\rho-s)}, \quad 0 \leq \rho \leq s. \quad (2.5)$$

Then to v_0 and w_0 we associate the IVP's

$$\begin{cases} \partial_t v + ia\partial_x^2 v + b\partial_x^3 v + F(v) = 0, & x, t \in \mathbb{R}, \\ v(x, 0) = v_0(x), \end{cases} \quad (2.6)$$

and

$$\begin{cases} \partial_t w + ia\partial_x^2 w + b\partial_x^3 w + G(v, w) = 0, & x, t \in \mathbb{R}, \\ w(x, 0) = w_0(x), \end{cases} \quad (2.7)$$

where $F(g) = -e|g|^2 \partial_x g + eg^2 \partial_x \bar{g}$, and

$$\begin{aligned} G(v, w) &:= F(v+w) - F(v) = -e[|v|^2 \partial_x w + 2\partial_x v \Re(v\bar{w}) + 2\partial_x w \Re(v\bar{w}) \\ &\quad + |w|^2 \partial_x v + |w|^2 \partial_x w] + e[2vw\partial_x \bar{v} + 2vw\partial_x \bar{w} + v^2 \partial_x \bar{w} \\ &\quad + w^2 \partial_x \bar{v} + w^2 \partial_x \bar{w}]. \end{aligned} \quad (2.8)$$

The solution of IVP (2.6) is given by

$$v(t) = U(t)v_0 - \int_0^t U(t-t')F(v)(t')dt' \quad (2.9)$$

with $v \in H^\infty$ (see Theorem 1.1). This solution is defined for any given time $T > 0$, in particular it is defined in the interval of time $[0, \Delta T]$.

Proposition 2.1. *Let $w_0 \in H^\rho$, with $\|w_0\|_{H^\rho} \lesssim N^{\rho-s}$, $1/4 \leq \rho \leq s < 1$, $s \leq \delta$ and v the unique solution given by Theorem 1.1. Then there exists a unique solution w of the IVP (2.7) defined in the same interval of existence of v , $[0, \Delta T]$, $\Delta T \sim N^{-(1-s)}$ such that*

$$w \in C([0, \Delta T]; H^\rho(\mathbb{R})).$$

Proof. The proof follows the same argument as in [15], see [9].

The Theorem (2.1) shows that the IVP 2.7 is locally well-posed in H^ρ and it is given by

$$\begin{aligned} w(t) &= U(t)w_0(x) - \int_0^t U(t-t')G(v, w)(t')dt' \\ &= U(t)w_0(x) + z(t) \end{aligned} \tag{2.10}$$

in the interval of time $[0, \Delta T]$. Therefore,

$$u(t) = v(t) + z(t) + U(t)w_0, \quad t \in [0, \Delta T]. \tag{2.11}$$

The following lemma establishes the smoothing effect of Kato’s type and its dual version and it allows us to estimate the nonlinear term without using Leibnitz rule for fractional derivatives as in [9], [10].

Lemma 2.2. *If $u_0 \in L^2(\mathbb{R})$, $D^\theta v_0 \in L^2(\mathbb{R})$, $0 < \theta \leq 1$. Then*

$$\|\partial_x U(t)u_0(x)\|_{L_x^\infty L_T^2} \leq C \|u_0\|_{L^2}, \tag{2.12}$$

$$\|\partial_x U(t)v_0(x)\|_{L_x^{2/\theta} L_T^2} \leq CT^{\theta/2} \|D^\theta v_0\|_{L^2}. \tag{2.13}$$

Proof. To the proof of (2.12), see [22] or [2], and (2.13) follows using complex interpolation between (2.12) and the inequality

$$\|U(t)u_0(x)\|_{L_x^2 L_T^2} \leq T^{1/2} \|u_0\|_{L^2}. \tag{2.14}$$

Now, using Lemma 2.2 we obtain some interpolated estimates.

Lemma 2.3. *Let $0 < \theta \leq 1$. If $f \in L_x^{2/(1+\theta)} L_T^2$. Then*

$$\left\| D_x^\theta \int_0^t U(t-t')f(\cdot, t')dt' \right\|_{L_T^\infty L_x^2} \leq CT^{(1-\theta)/2} \|f\|_{L_x^{2/(1+\theta)} L_T^2}. \tag{2.15}$$

Proof. The Minkowski inequality, group properties and the Cauchy-Schwarz inequality give

$$\left\| \int_0^t U(t-t')f(\cdot, t')dt' \right\|_{L_x^2} \leq CT^{1/2} \|f\|_{L_x^2 L_T^2}.$$

This estimate and version dual of (2.12) combined with Stein’s analytic interpolation give us (2.15).

Next we have the estimates associated to the maximal function norm.

Lemma 2.4. *If $u_0 \in H^s$, $s > 3/4$, $0 < T < 1$, then*

$$\|U(t)u_0\|_{L_x^2 L_T^\infty} \leq C \|u_0\|_{H^s}. \tag{2.16}$$

If $u_0 \in H^{1/4}$, then

$$\|U(t)u_0\|_{L_x^4 L_T^\infty} \leq C \|u_0\|_{H^{1/4}}. \tag{2.17}$$

If $u_0 \in H^{(1+2\theta^+)/4}$, $0 \leq \theta \leq 1$ and $0 < T < 1$, then

$$\|U(t)u_0\|_{L_x^{4/(1+\theta)} L_T^\infty} \leq C \|u_0\|_{H^{(1+2\theta^+)/4}}. \tag{2.18}$$

Proof. The estimate (2.16) was proved in [17]. Inequality (2.17) was obtained by Staffilani in [22], (see also [2]). To show (2.18) we use (2.16), (2.17) and analytic interpolation.

We also need to establish Strichartz estimates for solutions of IVP (1.2). The following lemma was proved in [2]

Lemma 2.5. *If $u_0 \in L^2$, then*

$$\|U(t)u_0\|_{L_x^8 L_T^8} \leq C \|u_0\|_{L_x^2}. \tag{2.19}$$

We also need the estimates established in [22] reminiscence of those for the modified KdV equation.

Lemma 2.6. *If $u_0, D_x^{1/4} \tilde{u}_0 \in L^2$, then*

$$\| U(t)u_0 \|_{L_x^5 L_T^{10}} \leq C \| u_0 \|_{L_x^2}, \tag{2.20}$$

$$\| \partial_x U(t)\tilde{u}_0 \|_{L_x^{20} L_T^{5/2}} \leq C \| D_x^{1/4} \tilde{u}_0 \|_{L_x^2}. \tag{2.21}$$

Now, we state a result of interpolation which allows us to handle the term $uv_x w$.

Lemma 2.7. *Let $1/4 \leq \theta \leq 1$, $D^\theta u_0 \in L^2$, then*

$$\| D_x U(t)u_0 \|_{L_x^{40/(20\theta-3)} L_T^{5/2}} \leq CT^{\theta/2-1/8} \| D^\theta u_0 \|_{L_x^2}. \tag{2.22}$$

Proof. Interpolating the effect of local regularization (2.12) with the maximal function estimative (2.17), for $0 \leq \theta \leq 1$ we have that

$$\| D_x U(t)u_0 \|_{L_x^{5/\theta} L_T^{10/(5-4\theta)}} \leq C \| D^\theta u_0 \|_{L_x^2}. \tag{2.23}$$

Now, we interpolate (2.23) with (2.13) to obtain (2.22), because if $\lambda = 1 - 1/(4\theta)$ we have

$$\begin{aligned} \frac{20\theta - 3}{40} &= \lambda \frac{\theta}{2} + (1 - \lambda) \frac{\theta}{5}. \\ \frac{2}{5} &= \lambda \frac{1}{2} + (1 - \lambda) \frac{5 - 4\theta}{10}. \end{aligned}$$

This completes the proof.

To prove Theorem 1.2 we need the following result

3. Estimatives Norms

Notice that we have added the norms in (1.13) to the original set of norms in [22] to avoid further difficulties. In what follows we consider N as a large enough positive number, which will be chosen later. We define

$$\begin{aligned} h^\beta(\phi) &:= \| \phi \|_{L_{\Delta T}^\infty H^\beta} + \| D_x^\beta \partial_x \phi \|_{L_x^\infty L_{\Delta T}^2} + \| \partial_x \phi \|_{L_x^\infty L_{\Delta T}^2} + \| \phi \|_{L_x^2 L_{\Delta T}^\infty} \\ &+ \| D_x^\beta \phi \|_{L_x^5 L_{\Delta T}^{10}} + \| \phi \|_{L_x^5 L_{\Delta T}^{10}} + \| D_x^{\beta-1/4} \partial_x \phi \|_{L_x^{20} L_{\Delta T}^{5/2}} + \| \phi \|_{L_x^8 L_T^8} \end{aligned}$$

$$\begin{aligned}
 & + \|D_x^\beta \phi\|_{L_x^8 L_T^8}, \\
 g(\phi) & := \|\phi\|_{L_{\Delta T}^\infty H^{1/4}} + \|D_x^{1/4} \partial_x \phi\|_{L_x^\infty L_{\Delta T}^2} + \|\partial_x \phi\|_{L_x^{20} L_{\Delta T}^{5/2}} \\
 & + \|\phi\|_{L_x^4 L_{\Delta T}^\infty} + \|D_x^{1/4} \phi\|_{L_x^5 L_{\Delta T}^{10}} + \|\phi\|_{L_x^5 L_{\Delta T}^{10}} \\
 & + \|\partial_x \phi\|_{L_x^\infty L_{\Delta T}^2} + \|\phi\|_{L_x^8 L_{\Delta T}^8} + \|D_x^{1/4} \phi\|_{L_x^8 L_{\Delta T}^8}, \\
 f(\phi) & := \|\phi\|_{L_{\Delta T}^\infty L_x^2} + \|\partial_x \phi\|_{L_x^\infty L_{\Delta T}^2} + \|\phi\|_{L_x^8 L_{\Delta T}^8} + \|\phi\|_{L_x^5 L_{\Delta T}^{10}}.
 \end{aligned}$$

Lemma 3.1. *Suppose that v and w solutions of the IVPs (2.6) and (2.7) with initial data w_0, v_0 respectively. Let $1/4 \leq \rho \leq s < 1, \delta \in [0, 1]$,*

$$\begin{aligned}
 \|w\|_\rho & := h^\rho(w), \quad \|v\|_\delta := h^\delta(v), \quad \|w\|_{1/4} := g(w), \quad \|v\|_{1/4} := g(v), \\
 \|w\|_0 & := f(w), \quad \|v\|_0 := f(v), \text{ then}
 \end{aligned}$$

$$\begin{aligned}
 \|v\|_\delta & \leq C \|v_0\|_{H^\delta} \leq CN^{\delta(1-s)}, \quad \|v\|_{1/4} \leq C \|v_0\|_{H^{1/4}} \leq CN^{\frac{1}{4}(1-s)}, \\
 \|v\|_0 & \leq C \|v_0\|_{L^2} \leq C
 \end{aligned}$$

and

$$\begin{aligned}
 \|w\|_\rho & \leq C \|w_0\|_{H^\rho} \leq CN^{(\rho-s)}, \quad \|w\|_{1/4} \leq C \|w_0\|_{H^{1/4}} \leq CN^{\left(\frac{1}{4}-s\right)} \\
 \|w\|_0 & \leq C \|w_0\|_{L^2} \leq CN^{-s}.
 \end{aligned}$$

Proof. The proof of these inequalities is the same as in [9]

Lemma 3.2. *If v is a solution of PVI (2.6) in $[0, \Delta T]$, then, for $\theta \in [0, 1], \mu \in [1/4, 1]$*

$$\|\partial_x v\|_{L_x^{2/\theta} L_{\Delta T}^2} \leq C \Delta T^{\theta/2} \|v\|_\theta. \tag{3.1}$$

$$\|\partial_x v\|_{L_x^{40/(20\mu-3)} L_{\Delta T}^{5/2}} \leq C \Delta T^{\mu/2-1/8} \|v\|_\mu. \tag{3.2}$$

If $\Delta T < 1$,

$$\|v\|_{L_x^{4/(1+\theta)}L_{\Delta T}^\infty} \leq C\|v\|_{(1/4+\theta^+/2)}. \tag{3.3}$$

Moreover, we have that the solution w of PVI (2.7) satisfies,

$$\|\partial_x w\|_{L_x^{2/\theta}L_{\Delta T}^2} \leq C\Delta T^{\theta/2}\|w\|_\theta. \tag{3.4}$$

$$\|\partial_x w\|_{L_x^{40/(20\mu-3)}L_{\Delta T}^{5/2}} \leq C\Delta T^{\mu/2-1/8}\|w\|_\mu. \tag{3.5}$$

If $\Delta T < 1$, one has

$$\|w\|_{L_x^{4/(1+\theta)}L_{\Delta T}^\infty} \leq C\|w\|_{(1/4+\theta^+/2)}. \tag{3.6}$$

Proof. To prove (3.1) we use (2.13) and the integral formula for v (2.9). Let $\alpha = 2C\|v_0\|_{H^\theta}$ and ΔT such that $\Delta T^{1/2}(1 + \Delta T^{1/4})\alpha^2 \leq 1/2$ for $\|v\|_\theta \leq \alpha$, thus we obtain (see the Theorem 1.1 in [22], see also [2])

$$\begin{aligned} \|\partial_x v\|_{L_x^{2/\theta}L_{\Delta T}^2} &\leq C\Delta T^{\theta/2}\|v_0\|_{H^\theta} + C\Delta T^{\theta/2}\Delta T^{1/2}(1 + \Delta T^{1/4})\|v\|_\theta^3 \\ &\leq C\Delta T^{\theta/2}\|v\|_{L_{\Delta T}^\infty H^\theta} + C\Delta T^{\theta/2}\|v\|_\theta. \end{aligned}$$

This proves (3.1).

The other inequalities are obtained analogously by using the inequalities (2.22) and (2.18) of Lemma 2.7 and Lemma 2.4 respectively.

Proposition 3.3. *Define*

$$z(t) = -\int_0^t U(t-t')G(w, v)(t')dt',$$

where $G(w, v)$ is the same as in (2.8), with v and w solutions of the IVP's (2.6) and (2.7) respectively, with initial data v_0 and w_0 as in (2.3). Then for $\delta \in [0, 1/4]$, $3/5 < s < 1$ we have

$$\begin{aligned} \|D_x^\delta z\|_{L_{\Delta T}^\infty L^2} &\lesssim N^{\left\{\frac{3\delta}{2} - \left(1 + \frac{3\delta}{2}\right)s\right\}}, \\ \|z\|_{L_{\Delta T}^\infty L^2} &\lesssim N^{-s}. \end{aligned} \tag{3.7}$$

Proof. The terms that contain v are more difficult to limit than those which contain w because the triple norm of v are limited by positive potencies of N , and those w by negative potencies of N . We consider the worst term, $|v|^2 w_x, vw\bar{v}_x$ and also $i|w|^2 w, w^2 \overline{w}_x$. Using (2.15) and the Lemmas 3.1 and 3.2 we have

$$\begin{aligned} \left\| D_x^\delta \int_0^t U(t-t') |v|^2 w_x(t') dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C\Delta T^{\frac{1-\delta}{2}} \| |v|^2 w_x \|_{L_x^{\frac{2}{1+\delta}} L_{\Delta T}^2} \\ &\leq C\Delta T^{\frac{1-\delta}{2}} \| v \|_{L_x^{\frac{4}{1+\delta}} L_{\Delta T}^\infty}^2 \| w_x \|_{L_x^\infty L_{\Delta T}^2} \\ &\leq C\Delta T^{\frac{1-\delta}{2}} \| v \|_{\frac{1+2\delta}{4}}^2 \| w \|_0 \\ &\leq CN^{-(1-s)\left(\frac{1}{2}-\frac{\delta}{2}\right)} N^{2\left(\frac{1}{4}+\frac{\delta}{2}\right)(1-s)} N^{-s} \\ &\leq CN^{\left\{\frac{3\delta}{2}-\left(1+\frac{3\delta}{2}\right)s\right\}} \end{aligned}$$

and

$$\begin{aligned} \left\| D_x^\delta \int_0^t U(t-t') vw\bar{v}_x(t') dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C\Delta T^{\frac{1-\delta}{2}} \| vw\bar{v}_x \|_{L_x^{\frac{2}{1+\delta}} L_{\Delta T}^2} \\ &\leq C\Delta T^{\frac{1-\delta}{2}} \| v_x \|_{L_x^{\frac{40}{20\delta-3}} L_{\Delta T}^{5/2}} \| v \|_{L_x^{8/3} L_{\Delta T}^\infty} \| w \|_{L_x^5 L_{\Delta T}^{10}} \\ &\leq C\Delta T^{\frac{1-\delta}{2}} \| v \|_\delta \| v \|_{1/2} \| w \|_0 \\ &\leq CN^{-(1-s)\left(\frac{1}{2}-\frac{\delta}{2}\right)} N^{\delta(1-s)} N^{\frac{1}{2}(1-s)} N^{-s} \\ &\leq CN^{\left\{\frac{3\delta}{2}-\left(1+\frac{3\delta}{2}\right)s\right\}}. \end{aligned}$$

Using (2.15), the Lemmas 3.1 and 3.2 we have

$$\begin{aligned}
 \left\| D_x^\delta \int_0^t U(t-t') w^2 \overline{w}_x(t') dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C \Delta T^{\frac{1-\delta}{2}} \|w^2 \overline{w}_x\|_{L_x^{1+\delta} L_{\Delta T}^2} \\
 &\leq C \Delta T^{\frac{1-\delta}{2}} \|w\|_{L_x^4 L_{\Delta T}^\infty}^2 \|w_x\|_{L_x^{\frac{2}{\delta}} L_{\Delta T}^2} \\
 &\leq C \Delta T^{\frac{1-\delta}{2}} \Delta T^{\frac{\delta}{2}} \|w\|_{\delta} \|w\|_{1/4}^2 \\
 &\leq C N^{-\frac{1}{2}(1-\frac{s}{\delta})} N^{\frac{1}{2}(1-\frac{s}{\delta})} N^{\delta-s} \\
 &\leq C N^{\delta-s}.
 \end{aligned}$$

We also have

$$\begin{aligned}
 \left\| D_x^\delta \int_0^t U(t-t') |w|^2 w(t') dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C \Delta T^{\frac{1-\delta}{2}} \| |w|^2 w \|_{L_x^{1+\delta} L_{\Delta T}^2} \\
 &\leq C \Delta T^{\frac{1-\delta}{2}} \|w\|_{L_x^4 L_{\Delta T}^\infty} \|w\|_{L_x^8 L_{\Delta T}^2} \|w\|_{L_x^{\frac{8}{1+4\delta}} L_{\Delta T}^\infty} \\
 &\leq C \Delta T^{\frac{7}{8}-\frac{\delta}{2}} \|w\|_{1/4} \|w\|_{L_x^8 L_{\Delta T}^8} \|w\|_{\delta} \\
 &\leq C N^{-\left(1-\frac{s}{\delta}\right)\left(\frac{7}{8}-\frac{\delta}{2}\right)} N^{\frac{1}{4}\left(1-\frac{s}{\delta}\right)} N^{\delta-s} \\
 &\leq C N^{\delta-s}.
 \end{aligned}$$

Now we prove (3.7) for the worst terms

$$\begin{aligned}
 \left\| \int_0^t U(t-t') |v|^2 \partial_x w dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C \Delta T^{\frac{1}{2}} \| |v|^2 \partial_x w \|_{L_x^2 L_{\Delta T}^2} \\
 &\leq C \Delta T^{\frac{1}{2}} \|v\|_{L_x^4 L_{\Delta T}^\infty}^2 \|\partial_x w\|_{L_x^\infty L_{\Delta T}^2}
 \end{aligned}$$

$$\begin{aligned} &\leq CN^{-1/2(1-s)}N^{1/2(1-s)}N^{-s} \\ &\leq CN^{-s}, \end{aligned}$$

and

$$\begin{aligned} \left\| \int_0^t U(t-t')vw\bar{v}_x dt' \right\|_{L_{\Delta T}^\infty L^2} &\leq C\Delta T^{\frac{1}{2}} \|vw\bar{v}_x\|_{L_x^2 L_{\Delta T}^2} \\ &\leq C\Delta T^{\frac{1}{2}} \|v\|_{L_x^4 L_{\Delta T}^\infty} \|w\|_{L_x^4 L_{\Delta T}^\infty} \|\partial_x v\|_{L_x^\infty L_{\Delta T}^2} \\ &\leq CN^{-1/2(1-s)}N^{1/4(1-s)}N^{1/4-s} \\ &\leq CN^{-s}. \end{aligned}$$

Remark 3.4. If $1/4 \leq s \leq \delta < 1$, then $N^{\left\{\frac{3\delta}{2} - \left(1 + \frac{3\delta}{2}\right)s\right\}} \leq N^{(1-s)\delta}$.

4. Proof of Theorem 1.2

Proof. Let $T > 0$ and $3/5 < s$, we will prove that u , solution of PVI (1.2) is globally well-posed in H^s .

To extend the local solution as far as T , we follow the scheme:

From (2.11), we have

$$u(\Delta T) = v(\Delta T) + z(\Delta T) + U(\Delta T)w_0.$$

Let $\overset{0}{v}(t) := v(t)$, $\overset{0}{z}(t) := z(t)$, $t \in [0, \Delta T]$, we defined our new initial data by

$$v_1 = \overset{0}{v}(\Delta T) + \overset{0}{z}(\Delta T) \text{ and } w_1 = U(\Delta T)w_0. \quad (4.1)$$

Obviously w_1 satisfies (2.5). By interpolation, conserved quantities (1.6) and Proposition 3.3, v_1 satisfies (2.4), therefore we can extend our local solution $u(t)$ until $2\Delta T$ and for $t \in [0, \Delta T]$ we have

$$\begin{aligned}
 u(t + \Delta T) &= v(t) + w(t) \\
 &= v(t) + z(t) + U(t)w_1,
 \end{aligned}
 \tag{4.2}$$

where v and w are solutions of the IVP (2.6), (2.7) with initial dates v_1 and w_1 , respectively.

In time $2\Delta T$ repeat the process. We define by induction

$$v_{k+1} = v(\Delta T) + z(\Delta T), w_{k+1} = U(\Delta T)w_k = U((k + 1)\Delta T)w_0,
 \tag{4.3}$$

where $v(t), w(t), t \in [0, \Delta T]$ satisfy the IVP (2.6), (2.7) with initial dates v_k and w_k , respectively, and for $t \in [0, \Delta T]$

$$z(t) = -\int_0^t U(t - t')G(v, w)(t')dt'.
 \tag{4.4}$$

We will prove that for $k = 1, 2, \dots, n, n \approx T/\Delta T$

$$\|v_0\|_{L^2} + \sum_{j=0}^{k-1} \|z\|_{L_{\Delta T}^\infty L^2} \leq \frac{c_0}{2} + kcc_0^2 N^{-s} \leq c_0,
 \tag{4.5}$$

$$\|v_0\|_{\dot{H}^1} + \sum_{j=0}^{k-1} \|z\|_{L_{\Delta T}^\infty \dot{H}^1} \leq \frac{c_0}{2} N^{(1-s)} + kcc_0^2 N^{\frac{3}{2} - \frac{5}{2}s} \leq c_0 N^{(1-s)},
 \tag{4.6}$$

and

$$\|v_k\|_{\dot{H}^\delta} \leq c_0 N^{\delta(1-s)}, \delta \in [0, 1],
 \tag{4.7}$$

where $c_0 = 2\|u_0\|_{H^s}$. Observe that in the inequalities (4.5) and (4.6), the second inequality is true. In fact we have

$$kcc_0^2 N^{\frac{3}{2} - \frac{5}{2}s} \leq \left(\frac{T}{\Delta T}\right) cc_0^2 N^{\frac{3}{2} - \frac{5}{2}s},$$

then the second inequality in (4.6) is true if

$$\left(\frac{T}{\Delta T}\right) cc_0^2 N^{\frac{3}{2} - \frac{5}{2}s} = \frac{c_0}{2} N^{(1-s)},$$

this implies

$$T = \frac{1}{2cc_0} N^{-\frac{3}{2} + \frac{5}{2}s}, \text{ and } s > 3/5.$$

In the second inequality in (4.5) we have

$$\begin{aligned} kcc_0^2 N^{-s} &\leq \left(\frac{T}{\Delta T}\right) cc_0^2 N^{-s} \leq \frac{1}{2cc_0} N^{-\frac{3}{2} + \frac{5}{2}s} N^{1-s} cc_0^2 N^{-s} = \frac{c_0}{2} N^{\frac{1}{2}s - \frac{1}{2}} \\ &\leq \frac{c_0}{2}, \end{aligned}$$

therefore the second inequality in (4.5) is also true.

Now we will prove the inequalities (4.5)-(4.7) using induction. We consider the worst term, $vw\bar{v}_x$, and thus $G(v, w) = vw\bar{v}_x$.

(1) If $k = 1$

$$v_1 = \overset{0}{v}(t) + \overset{0}{z}(t).$$

The inequality (2.4) and Proposition 3.3 imply

$$\|v_0\|_{L^2} + \|\overset{0}{z}\|_{L_{\Delta T}^\infty L^2} \leq \frac{c_0}{2} + cc_0^2 N^{-s} \leq c_0. \quad (4.8)$$

$$\|v_0\|_{\dot{H}^1} + \|\overset{0}{z}\|_{L_{\Delta T}^\infty \dot{H}^1} \leq \frac{c_0}{2} N^{(1-s)} + cc_0^2 N^{\frac{3}{2} - \frac{5}{2}s} \leq c_0 N^{(1-s)}, \quad (4.9)$$

by interpolation, definition of v_1 and conserved quantities (1.6) we get

$$\begin{aligned} \|v_1\|_{\dot{H}^\delta} &\leq \|v_1\|_{L^2}^{1-\delta} \|v_1\|_{\dot{H}^1}^\delta \\ &\leq (\|v_0\|_{L^2} + \|\overset{0}{z}(\Delta T)\|_{L^2})^{1-\delta} (\|v_0\|_{\dot{H}^1} + \|\overset{0}{z}(\Delta T)\|_{\dot{H}^1})^\delta. \end{aligned}$$

The inequalities (4.8) and (4.9) give

$$\|v_1\|_{\dot{H}^\delta} \leq c_0^{1-\delta} c_0^\delta N^{\delta(1-s)} \leq c_0 N^{\delta(1-s)}.$$

(2) We suppose that the inequalities (4.5)-(4.7) are true for k , we will prove these inequalities for $k + 1$.

Let $\zeta \in \{0, 1\}$, by (4.4), Proposition 3.3, Lemma 3.1 and inequality (4.7), we obtain

$$\begin{aligned} \|z\|_{L_{\Delta T}^\infty \dot{H}^\zeta}^k &\leq c\Delta T^{\frac{1-\zeta}{2}} \|v_x\|_{L_x^{\frac{40}{20\zeta-3}} L_{\Delta T}^{5/2}}^k \|v\|_{L_x^{8/3} L_{\Delta T}^\infty}^k \|w\|_{L_x^5 L_{\Delta T}^{10}}^k \\ &\leq c\Delta T^{(1-\zeta)/2} \|v_{k-1}\|_{\dot{H}^\zeta} \|v_{k-1}\|_{\dot{H}^{1/2}} \|w_{k-1}\|_{L^2} \\ &\leq cc_0^2 \Delta T^{(1-\zeta)/2} N^{\zeta(1-s)} N^{1/2(1-s)} N^{-s} \\ &\leq cc_0^2 N^{\frac{3\zeta}{2} - (1 + \frac{3\zeta}{2})s}. \end{aligned} \tag{4.10}$$

Using (4.3), conserved quantities (1.6), the inequalities (4.5), (4.6) and (4.10) we have

$$\begin{aligned} \|v_{k+1}\|_{\dot{H}^\zeta} &\leq \|v_0\|_{\dot{H}^\zeta} + \sum_{j=0}^k \|z(\Delta T)\|_{\dot{H}^\zeta} \\ &\leq \frac{c_0}{2} + kcc_0^2 N^{\frac{3\zeta}{2} - (1 + \frac{3\zeta}{2})s} + cc_0^2 N^{\frac{3\zeta}{2} - (1 + \frac{3\zeta}{2})s} \\ &\leq \frac{c_0}{2} + (k+1)cc_0^2 N^{\frac{3\zeta}{2} - (1 + \frac{3\zeta}{2})s} \\ &\leq c_0 N^{\zeta(1-s)}, \quad \zeta = 0, 1. \end{aligned} \tag{4.11}$$

Therefore using interpolation and (4.11)

$$\begin{aligned} \|v_{k+1}\|_{\dot{H}^\delta} &\leq \|v_{k+1}\|_{L^2}^{1-\delta} \|v_{k+1}\|_{\dot{H}^1}^\delta \\ &\leq c_0^{1-\delta} c_0^\delta N^{\delta(1-s)} \\ &\leq c_0 N^{\delta(1-\delta)}, \quad \delta \in [0, 1]. \end{aligned}$$

Hence u is globally well-posed in H^s for all $3/5 < s < 1$.

Now let, $T > 0$, $\tau \in [0, T]$, $s > 3/5$, then there exist $j \in [0, n]$, $n \approx T/\Delta T$ and $t \in [0, \Delta T]$ such that

$$u(\tau) = u(t + (j-1)\Delta T) = v^j(t) + w^j(t) = v^j(t) + z^j(t) + U(\tau)w_0, \quad (4.12)$$

thus using interpolation, inequality (2.5), conserved quantities (1.6) and (4.5)-(4.7)

$$\begin{aligned} \|u(\tau)\|_{\dot{H}^\theta} &\leq \|v^j(t)\|_{\dot{H}^\theta} + \|z^j(t)\|_{\dot{H}^\theta} + \|w_0\|_{\dot{H}^\theta} \\ &\leq \|v^j(t)\|_{L^2}^{1-\theta} \|v^j(t)\|_{\dot{H}^1}^\theta + \|z^j(t)\|_{L^2}^{1-\theta} \|z^j(t)\|_{\dot{H}^1}^\theta + \|u_0\|_{\dot{H}^s} N^{\theta-s} \\ &\leq \|v_j\|_{L^2}^{1-\theta} \|v_j\|_{\dot{H}^1}^\theta + \|z^j(t)\|_{L^2}^{1-\theta} \|z^j(t)\|_{\dot{H}^1}^\theta + \|u_0\|_{\dot{H}^s} N^{\theta-s} \\ &\leq 2c_0 N^{\theta(1-s)} + \|u_0\|_{\dot{H}^s} N^{\theta-s} \\ &\leq c_1 T^{2\theta(1-s)/(5s-3)} + \frac{\|u_0\|_{\dot{H}^s}}{T^{2(s-\theta)/(5s-3)}}, \end{aligned}$$

where $c_1 = c_1(\|u_0\|_{\dot{H}^s})$ and $0 \leq \theta \leq s$.

From inequality (4.12) we get

$$u(\tau) = U(\tau)u_0 + v^j(t) + z^j(t) - U(\tau)v_0,$$

therefore

$$\begin{aligned} \|v^j(t) + z^j(t) - U(\tau)v_0\|_{\dot{H}^\delta} &\leq 2c_0 N^{\delta(1-s)} + \|u_0\|_{\dot{H}^s} N^{\delta(1-s)} \\ &\leq c_1 T^{2\delta(1-s)/(5s-3)}, \end{aligned}$$

where $c_1 = c_1(\|u_0\|_{\dot{H}^s})$ and $s \leq \delta \leq 1$.

References

- [1] J. Bourgain, *New Global Well-posedness Results for Nonlinear Schrödinger Equations*, AMS Publications, (1999).
- [2] X. Carvajal and F. Linares, A higher order nonlinear Schrödinger equation with variable coefficients, *Differential and Integral Equations* 16 (2003), 1111-1130.
- [3] X. Carvajal, Sharp global well-posedness for a higher order Schrödinger equation, *J. Fourier Anal. Appl.* 12 (2006), 53-70.
- [4] T. Cazenave and F. Weissler, The Cauchy problem for the critical nonlinear Schrödinger equations in H^s , *Nonlinear Analysis TMA* 14 (1990), 807-836.
- [5] P. A. Clarkson and C. M. Cosgrove, Painlevé analysis of the non-linear Schrödinger family of equations, *Journal of Physics A: Math. and Gen.* 20 (1987), 2003-2024.
- [6] J. Colliander, M. Keel, G. Staffilani, H. Takaoka and T. Tao, Global well-posedness for Schrödinger equations with derivative, *SIAM J. Math. Anal.* 33 (2001), 649-669.
- [7] J. Colliander, M. Keel, G. Staffilani, H. Takaoka and T. Tao, A refined global well-posedness result for Schrödinger equations with derivative, *SIAM J. Math. Anal.* 34 (2002), 64-86.
- [8] J. Colliander, M. Keel, G. Staffilani, H. Takaoka and T. Tao, Sharp global well-posedness for periodic and nonperiodic KdV and mKdV, *J. Amer. Math. Soc.* 16 (2003), 705-749.
- [9] G. Fonseca, F. Linares and G. Ponce, Global well-posedness for the modified Korteweg-de Vries equation, *Comm. PDE* 24 (1999), 683-705.
- [10] G. Fonseca, F. Linares and G. Ponce, Global existence for the critical generalized KdV equation, *Proc. Amer. Math. Soc.* 131 (2003), 1847-1855.
- [11] J. Ginibre and G. Velo, Scattering theory in the energy space for a class of nonlinear Schrödinger equation, *J. Math. Pure. Appl.* 64 (1985), 363-401.
- [12] E. M. Gromov, V. V. Tyutin and D. E. Vorontzov, Short vector solitons, *Physics Letters A* 287 (2001), 233-239.
- [13] A. Hasegawa and Y. Kodama, Nonlinear pulse propagation in a monomode dielectric guide, *IEEE Journal of Quantum Electronics* 23 (1987), 510-524.
- [14] C. E. Kenig, G. Ponce and L. Vega, Oscillatory integrals and regularity of dispersive equations, *Indiana Univ. Math. J.* 40 (1991), 33-69.
- [15] C. E. Kenig, G. Ponce and L. Vega, Well-posedness and scattering results for the generalized Korteweg-de Vries equation via the contraction principle, *Comm. Pure Appl. Math.* 46 (1993), 527-620.
- [16] Y. Kodama, Optical solitons in a monomode fiber, *J. Statist. Phys.* 39 (1985), 597-614.
- [17] C. Laurey, The Cauchy problem for a third order nonlinear Schrödinger equation, *Nonlinear Analysis TMA* 29 (1997), 121-158

- [18] T. Ozawa, On the nonlinear Schrödinger equations of derivative type, *Indiana Univ. Math. J.* 45 (1996), 137-163.
- [19] T. Osawa and Y. Tsutsumi, Space-time estimates for null gauge forms and nonlinear Schrödinger equations, *Differential Integral Equations* 11 (1998), 201-222.
- [20] K. Porsezian and K. Nakkeeran, Singularity Structure Analysis and Complete Integrability of the Higher Order Nonlinear Schrödinger equations, *Chaos, Solitons and Fractals* (1996), 377-382.
- [21] K. Porsezian, P. Shanmugha, K. Sundaram and A. Mahalingam, *Phys. Rev.* 50E, 1543 (1994).
- [22] G. Staffilani, On the generalized Korteweg-de Vries-type equations, *Differential and Integral Equations* 10 (1997), 777-796.
- [23] C. Sulem and P. L. Sulem, *The nonlinear Schrödinger equation: sel-focusing and wave collapse*, Applied Mathematical Sciences, Springer Verlag 139 (1999), 350 pages.
- [24] H. Takaoka, Well-posedness for the one dimensional Schrödinger equation with the derivative nonlinearity, *Adv. Diff. Eq.* 4 (1999), 561-680.
- [25] Y. Tsutsumi, L^2 - solutions for nonlinear Schrödinger equations and nonlinear groups, *Funkcial. Ekvac.* 30 (1987), 115-125.
- [26] H. Wang, Global well-posedness of the Cauchy problem of a higher-order Schrödinger equation, *Electron. J. Diff. Eqns.* 4 (2007), 1-11.

